

Ground-ground Optical Communications
Demonstration



Ground-ground Optical Communications Demonstration

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Ground-ground Optical Communications Demonstration



- Introduction
- Experimental configuration & operations scenario
- Validated link analysis predictions
- Characterized atmospheric channel
- Characterized Optical Communications Demonstrator (OCD) performance
- Characterized overall link performance
- Conclusions & Future Work

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Introduction

- Deep space optical communications road map calls for an incremental set of systems level demonstrations for validating technologies
 - Ground-ground optical links are the first set of cost-effective demonstrations conducted in June 1998
- Important to recognize the following about terrestrial horizontal path optical links
 - Horizontal path involves laser beam propagation through greater air-mass than space-ground links thereby compounding the atmospheric channel effects on link
 - Optical transmitter immersed in atmosphere, resulting in considerable beam wander not expected in ground-to-space links
- Therefore, while the extent of performance validation is limited, valuable insight and experience is derived from terrestrial link demonstrations

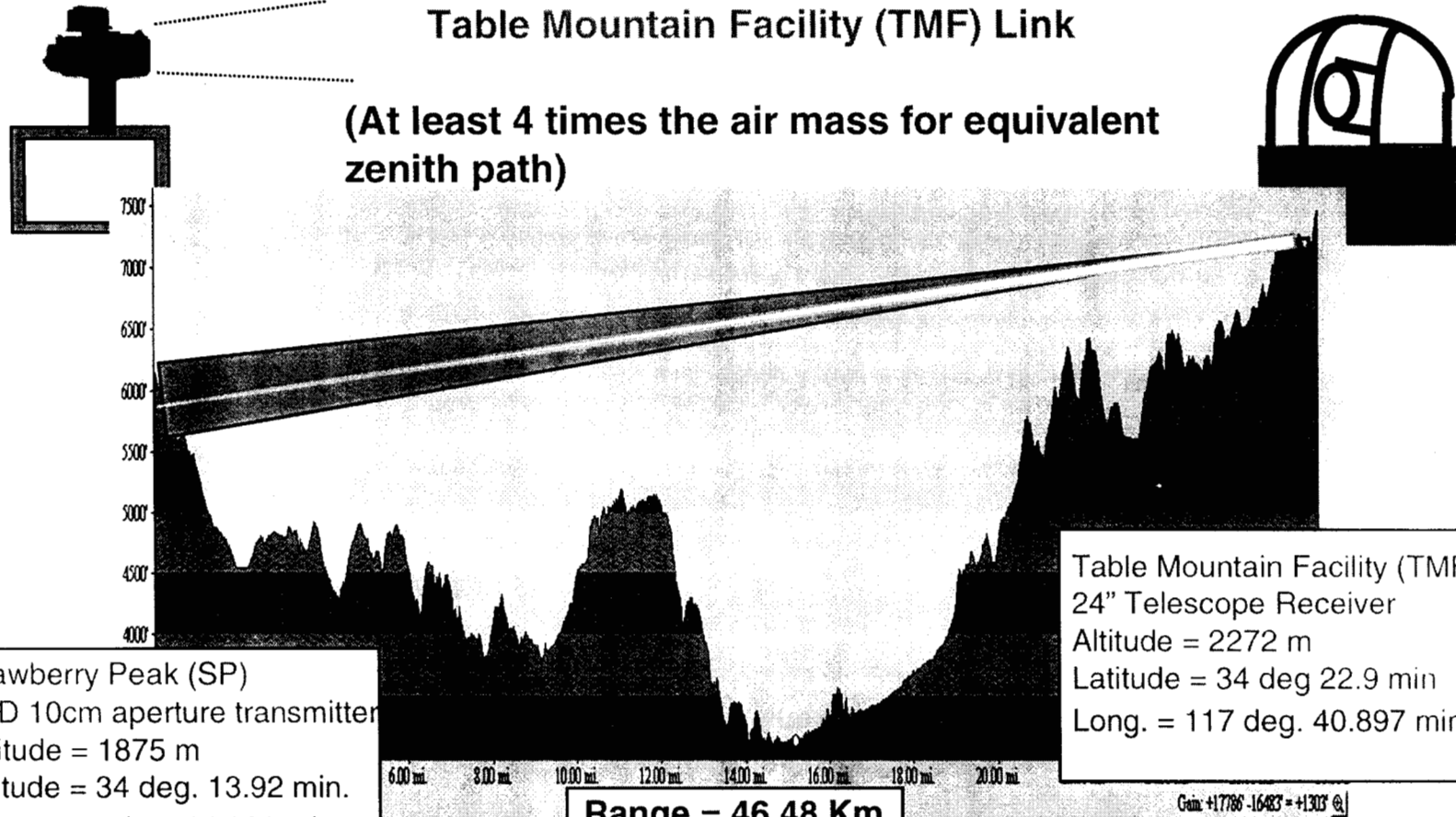
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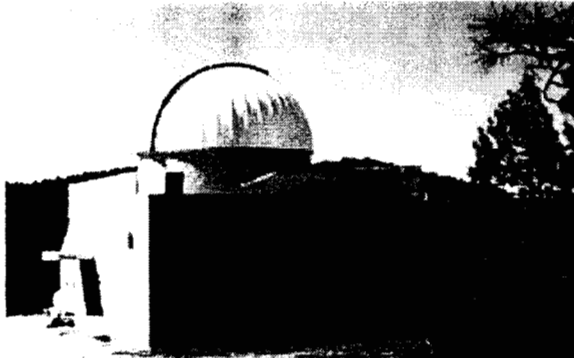
Configuration used for Strawberry Peak (SP) to Table Mountain Facility (TMF) Link

(At least 4 times the air mass for equivalent
zenith path)

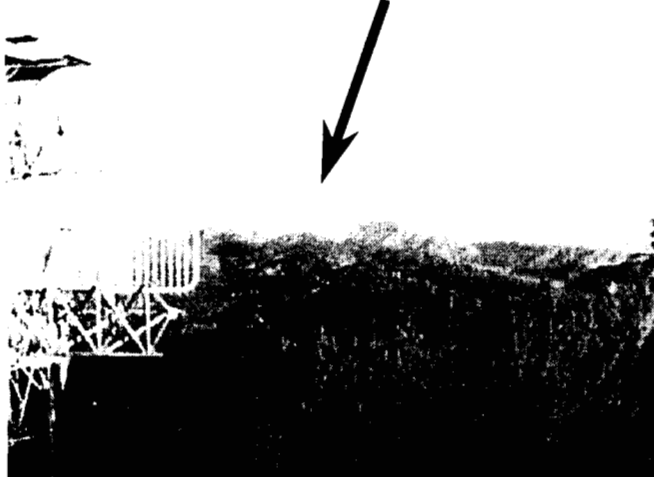


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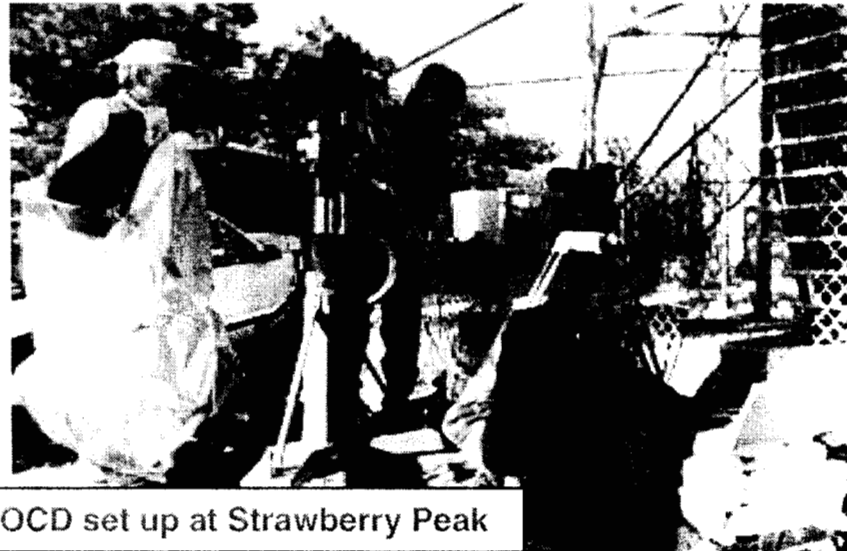
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TMF 0.6 m telescope used as receiver
TMF seen from SP



Coude Room Optical Assembly

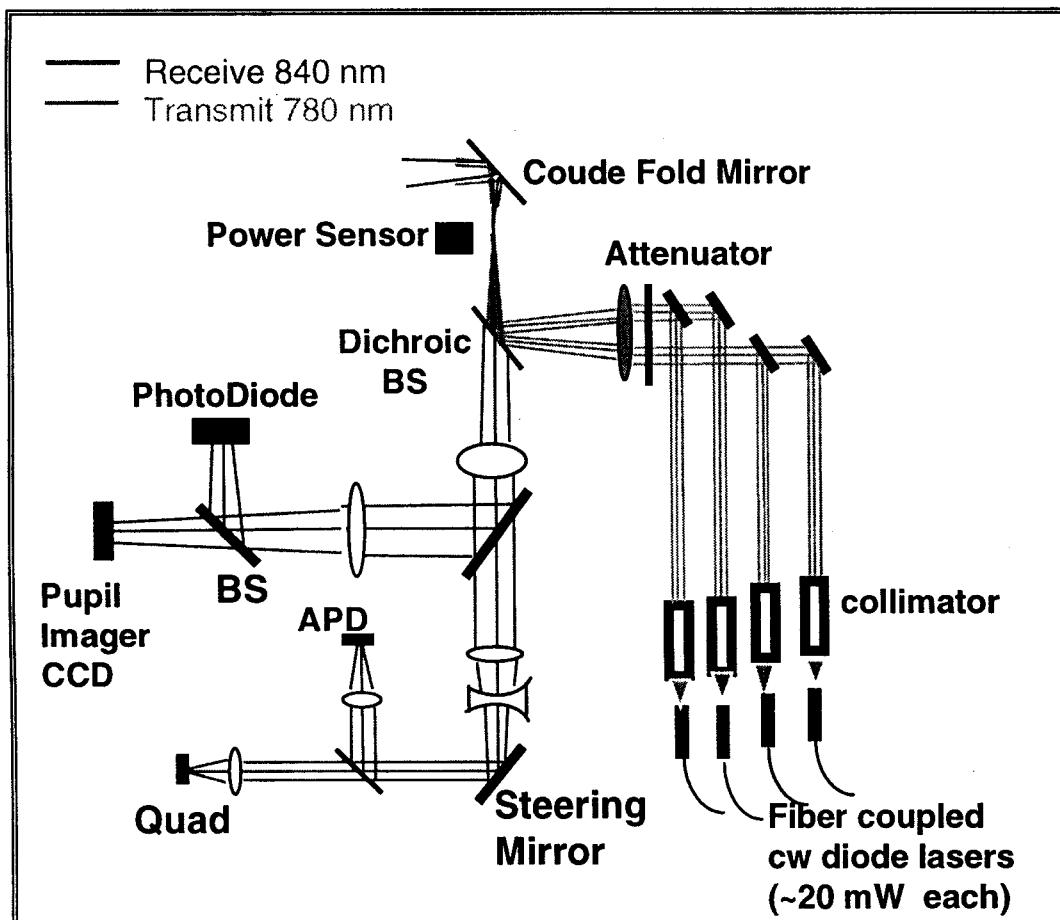


OCD set up at Strawberry Peak

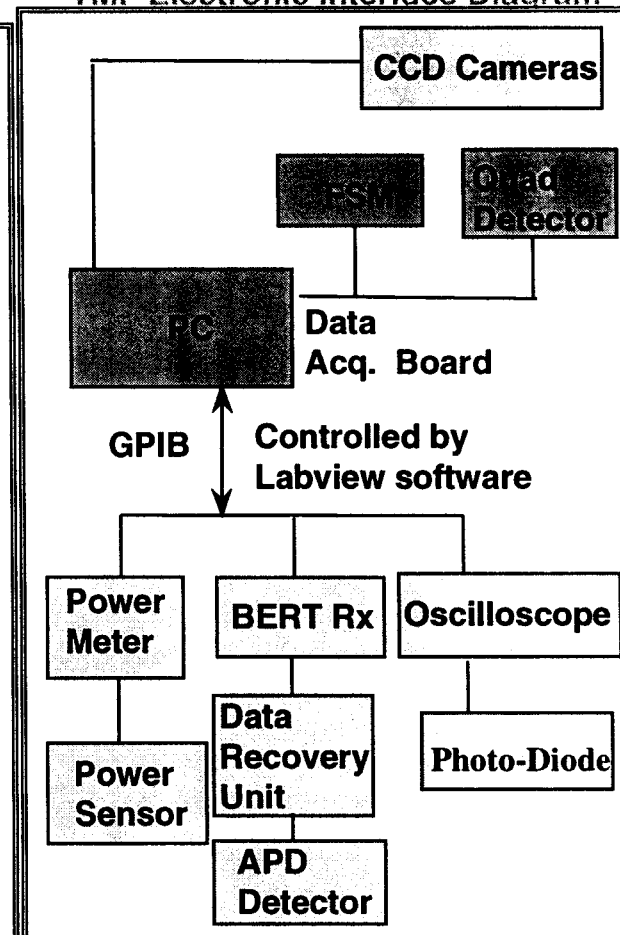
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TMF Transmit/Receive Optical Assembly

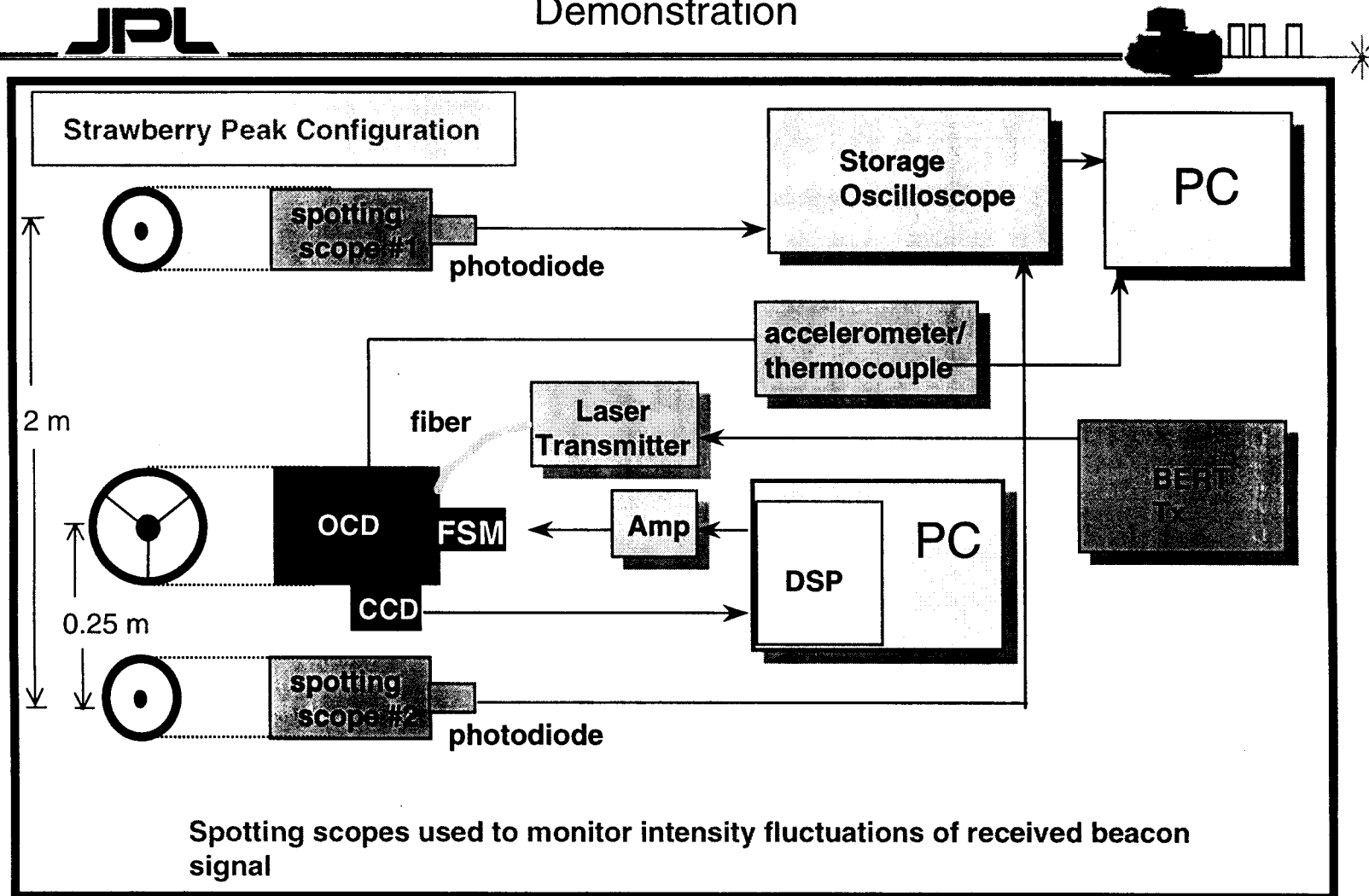


TMF Electronic Interface Diagram



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Operations Scenario

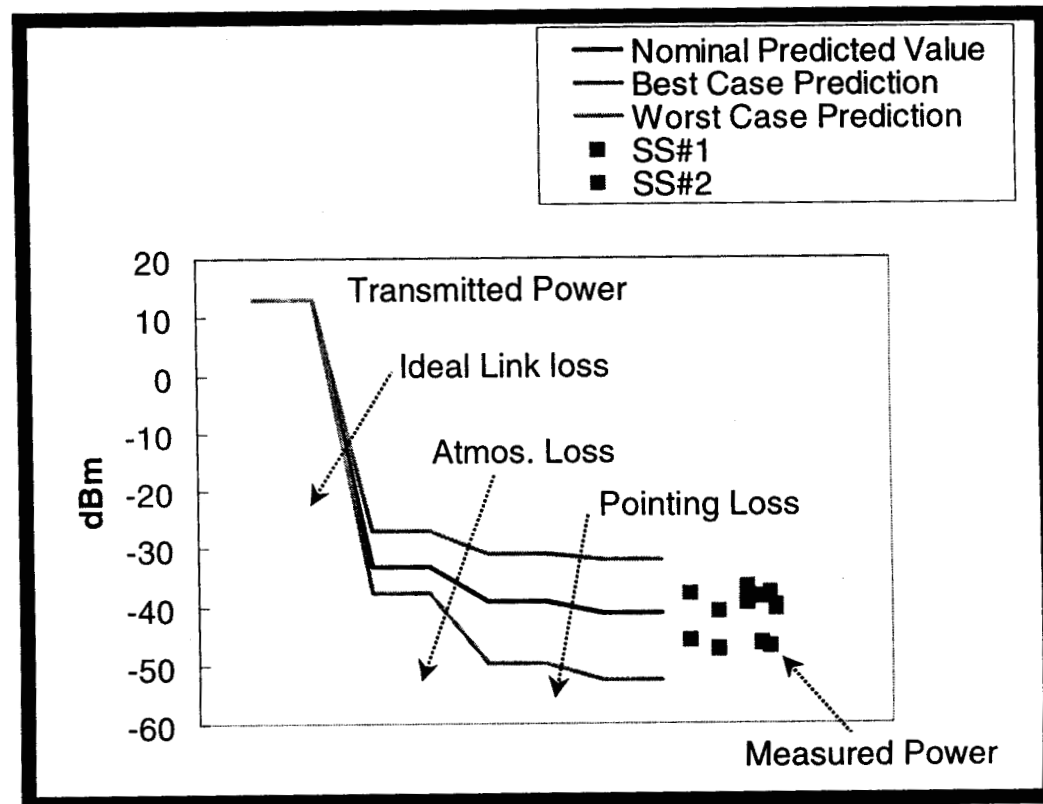
- Transmitted beacon laser from Table Mountain Facility (TMF) to Strawberry Peak (SP)
- Acquired beacon laser using Optical Communications Demonstrator (OCD) at SP using manual coarse pointing
- Transmitted OCD laser beam back to TMF to confirm transmit-receive co-alignment
- Performed the following at SP
 - used spotting scopes to monitor average beacon power and perform scintillation measurements on beacon
 - used OCD to acquire beacon spot images and centroid
 - activated closed loop fine tracking and logged tracking data on OCD
- Performed the following at TMF
 - monitor received power and scintillation at TMF
 - monitor focussed spot size and pupil image at TMF
 - record communications signal eye-patterns and BER

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LINK ANALYSIS TMF TO SP AND RECEIVED POWER AT SP

- Validated link predictions using average signal power for each beacon diode laser transmitted from TMF
- Spotting scope closer to OCD (SS#2) measured 5-7 dB higher average power
- Based on angular separation of the SS#1 and SS#2 the measured difference is consistent with $\sim 70 \mu\text{rad}$ of beam divergence compared to the designed $100 \mu\text{rad}$

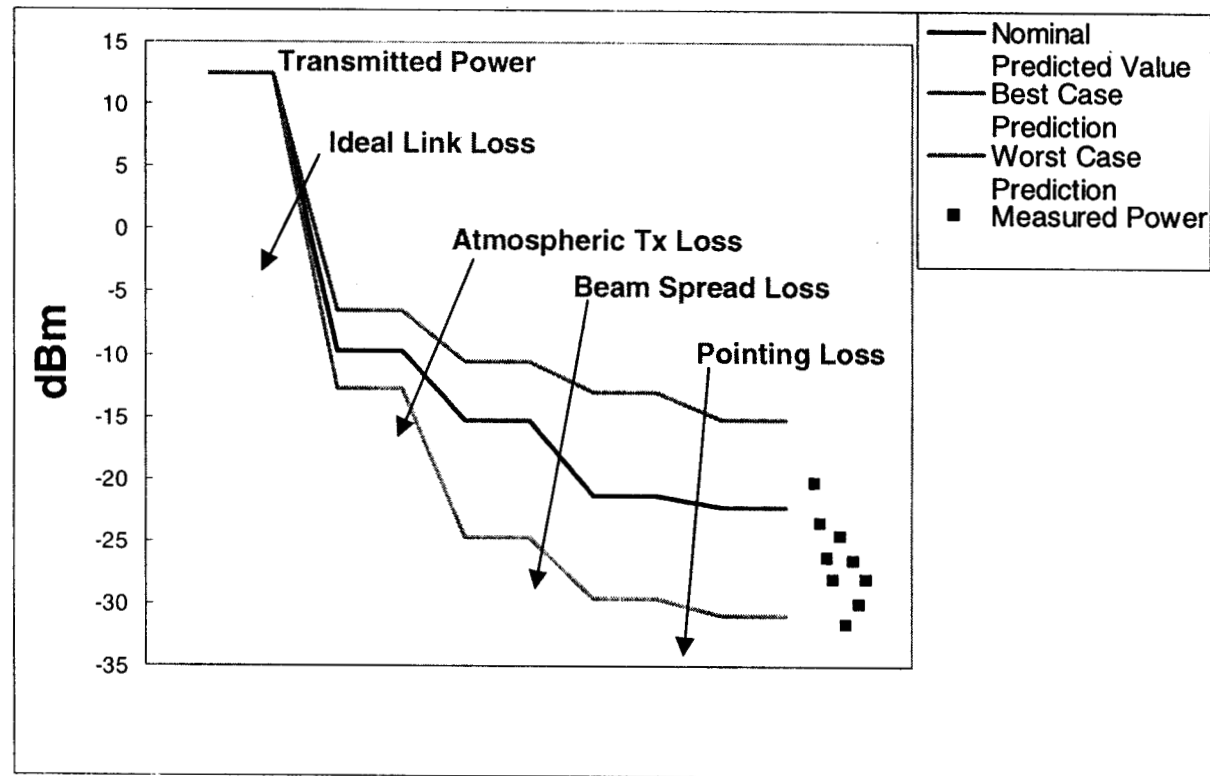


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LINK ANALYSIS SP TO TMF AND RECEIVED POWER AT TMF

- Validated link predictions using average signal power measured at TMF



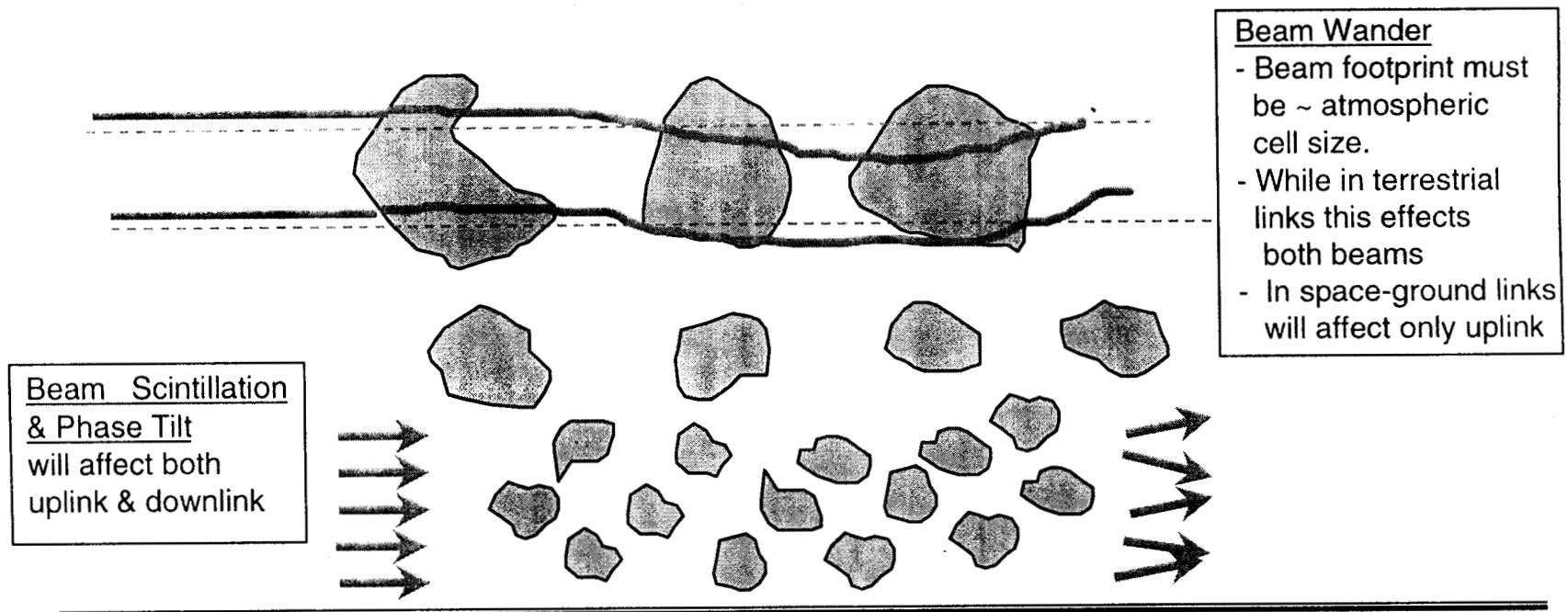
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Atmospheric Channel

- Deep space optical links to earth receivers will involve transmission of uplink and downlink laser beams through an atmospheric path
- In addition to cloud-free atmospheric attenuation due to absorption and scattering thermally induced refractive index fluctuations impact link performance



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Atmospheric Channel

- Optical communications laser beams are subjected to intensity fluctuations as a result of traversing the turbulent atmosphere
- Intensity fluctuations are characterized by

$$\sigma_I^2 = \langle I^2 \rangle / \langle I \rangle^2 - 1 \quad \text{scintillation index } \sigma_I^2$$



$$\sigma_I^2 < 0.5$$

weak fluctuation

$$1 > \sigma_I^2 > 0.5$$

strong fluctuation

$$\sigma_I^2 = 1.0$$

saturation

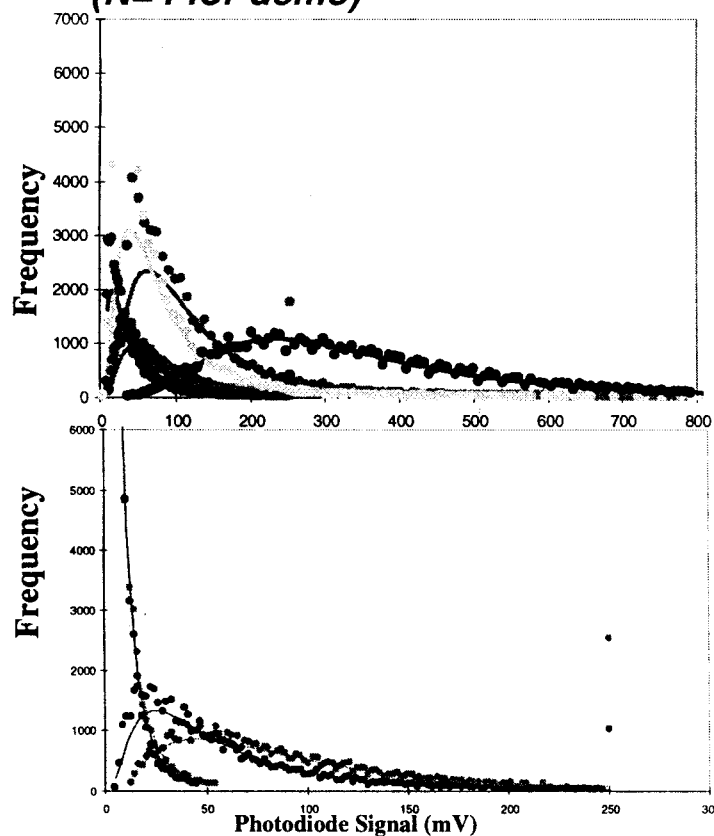
- Well developed and validated theories exist for the “weak fluctuation” regime for “strong fluctuation” region some approximate theories exist which are not well validated
- Optical communications links must find a means of mitigating atmospheric effects by effectively reducing the scintillation index

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Multi-Beam Beacon Scintillation Measurements TMF to SP

- *scintillation index of N beams should decrease as $1/N$*
($N=4$ for demo)



- **Measured σ_I^2 values show reduction**
- deviation from predicted values due to imperfect co-alignment of the four beams

Single Beams		4- Beams
Beam 1	0.50	0.22 (0.17 predicted)
Beam 2	0.82	
Beam 3	0.68	
Beam 4	0.73	

→ **on-axis** measurements

Single Beams		4-Beams
Beam 1	1.04	0.34 (0.20 predicted)
Beam 2	0.76	
Beam 3	0.75	
Beam 4	0.72	

→ **off-axis** measurements

- **Measured on-axis versus off-axis difference in σ_I^2**
- difference larger than predicted by Gaussian beam theory
- **Reduction in σ_I^2 translates reduction in dynamic range from 17-22 dB to 12-13 dB**

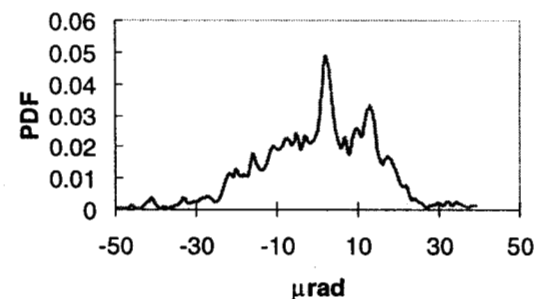
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Atmospheric Channel Effects on Optical Communications

- **The spot acquired by OCD tracking sensor showed varying size from 40-100 μrad compared to 20-30 μrad seen in laboratory**
 - this compares to 28-95 μrad prediction
- **Probability distribution function shows a 60 μrad motion in beacon centroid**
 - this motion far exceeds predicted $\sim 30 \mu\text{rad}$ of angle of arrival fluctuation

Acquired Beacon Spot by OCD
Tracking Sensor



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OCD Fine Tracking

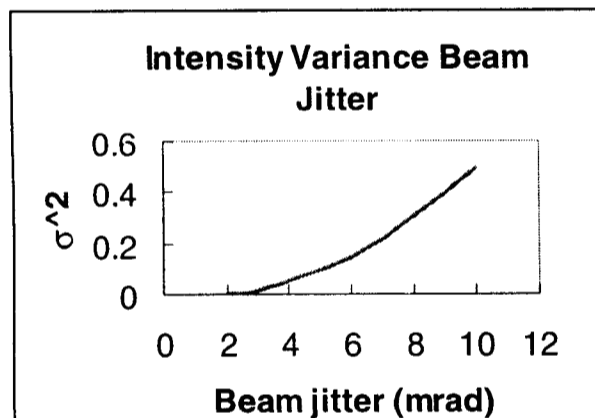
- **Tested OCD fine tracking performance with both transmitter and receiver stationary but atmospheric perturbations causing beacon spot to move**
- **Tracking was achievable using the OCD fine tracking loop, however, the lock was intermittent**
 - primary cause of loss of track was beacon fades sensed by the OCD focal plane CCD
 - the CCD dynamic range (10 dB) is inadequate for level of beacon intensity fluctuation measured 12-14 dB
 - could determine the uncompensated tracking for fade free durations
- **Uncompensated tracking error was measured to be $\sim 8 \mu\text{rad}$ compared to $2 \mu\text{rad}$ measured in laboratory, the degradation is attributed to**
 - the beacon spot enlargement contributes to inaccuracies of centroid
 - the OCD loop 3 dB bandwidth is 60 Hz thus all atmospheric components are not compensated
- **Preliminary OCD tracking characteristics, obtained between 12:00 AM to 4:00 AM on June 19, 1998**
 - tracking error 1σ is $\sim 8 \mu\text{rad}$
 - beacon tracked over at least 10 dB dynamic range
 - normalized intensity variance measured by OCD CCD was 0.15 compared to independently measured beacon fluctuation of 0.22
 - average 0.06 fades per second observed over 1000 seconds

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Communications Performance

- **Measured OCD beam footprint received at TMF was 1.67 m compared to expected 1.2m**
 - appearance of beam footprint is more elliptical than circular with the minor axis shorter perpendicular to direction of propagation
- **In absence of OCD fine tracking the beam the OCD footprint received at TMF exhibits wander of of 4 m**
 - maximum beam wander predicted by theory is 1m
- **Measured scintillation index σ_I^2 on the communications signal returned to TMF to be 0.43 whereas the expected value with aperture averaging taken into account was <0.1**



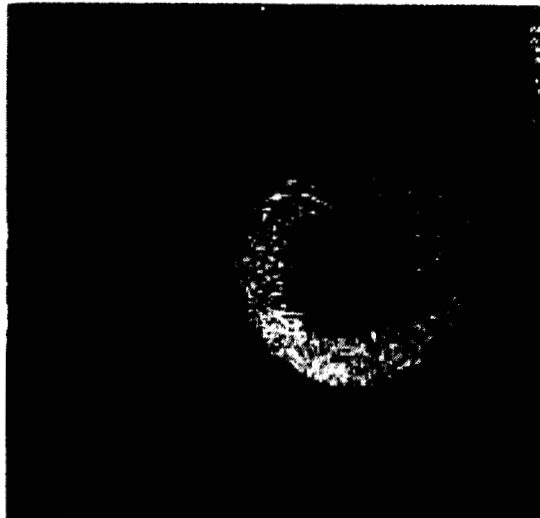
- **analysis shows that additional beam jitter may be a possible cause for the worse than expected scintillation**

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- Examples of recorded pupil image display the expected speckle caused by phase front perturbations of laser beam wavefront



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- Atmospheric “seeing” and coherence length

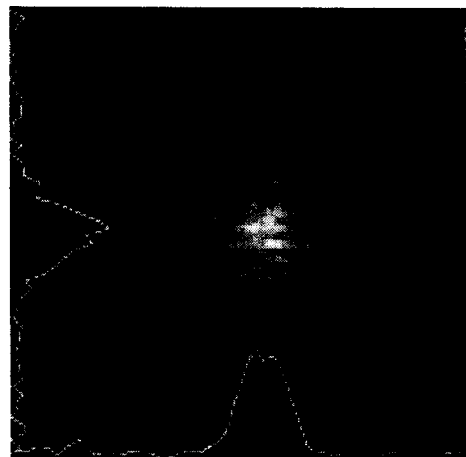
Plane Wave

- spatial coh. length $<$ inner scale $r_0 = 3.6$ cm, expected spot size $172 \mu\text{m}$, seeing - 4-5 arcsec
- spatial coh. length $>$ inner scale $r_0 = 7$ cm, expected spot size $91 \mu\text{m}$, seeing - 3 arcsec

Gaussian Wave

- spatial coh. length $<$ inner scale $r_0 = 6.2$ cm expected spot size $100 \mu\text{m}$, seeing ~ 3.2 arcsec
- spatial coh. length $>$ inner scale $r_0 = 12.2$ cm expected spot size $52 \mu\text{m}$, seeing ~ 1.6 arcsec

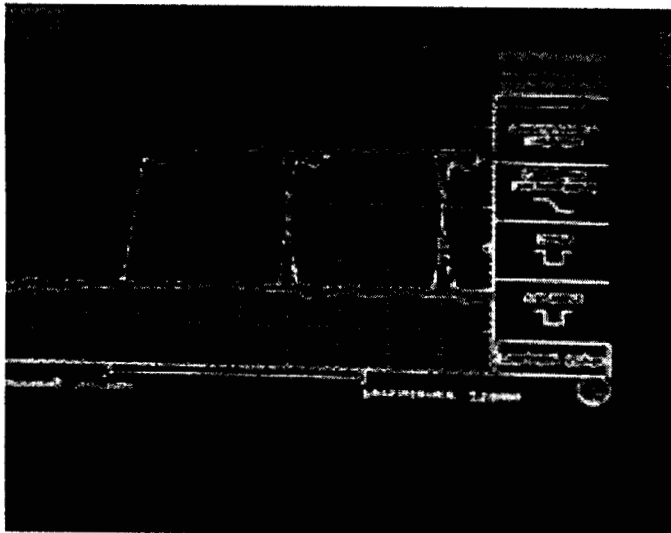
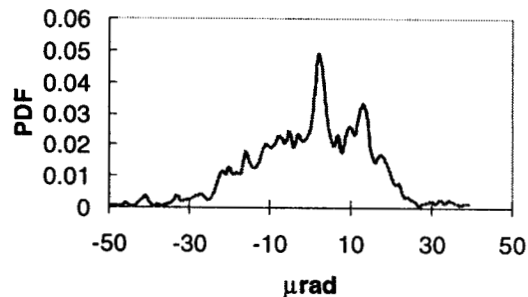
Measured focal spot size was 162 ± 6 mm



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Acquired Beacon Spot by OCD
Tracking Sensor



- **Acquired beacon spot motion on OCD CCD**
- **OCD fine tracking features**
 - tracking error 1s is ~ 3.3 mrad (lab 1.7 mrad)
 - beacon tracked over at least 10 dB dynamic range
 - average 0.06 fades per second observed over 1000 seconds
- **Obtained lock for 10's of seconds to minutes with loss of lock attributed primarily to atmosphere induced fades**
- **Eye-pattern measured @ 325 Mbps**

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Conclusions

- **Validated link analysis uncertainties for bi-directional horizontal path link**
- **Investigated intensity fluctuations on either side of link**
 - showed intensity distributions
 - verified multi-beam scintillation reduction and obtained preliminary data on effect of mis-pointing
 - showed that for our experimental parameters aperture averaging does not take care of scintillation most probably due to beam jitter effects
- **Investigated other atmospheric effects**
 - atmospheric coherence length measurements nominally obey predictions
 - beam wander and angle of arrival fluctuations predictions are lower than measurements
- **Reported on preliminary OCD ack/trk performance**
 - need further testing to characterize
- **Showed BER and eye-pattern measurements $1E-5$ averaged over 1 sec**